

7.11.2022

A9-0341/3

**Amendment 3**

**Gunnar Beck**

on behalf of the ID Group

**Report**

**Billy Kelleher**

Digital finance: Digital Operational Resilience Act (DORA)  
(COM(2020)0595 – C9-0304/2020 – 2020/0266(COD))

**A9-0341/2021**

**Proposal for a regulation**

**Article 21 – paragraph 4**

*Text proposed by the Commission*

4. Financial entities shall ensure that tests are undertaken by independent parties, whether internal or external.

*Amendment*

4. Financial entities shall ensure that tests, ***including TLPT***, are undertaken by independent parties, whether internal or external. ***In the case of an internal tester, an adequate analysis and identification of the proper resources to be allocated in the design and execution phases of the tests shall be performed, in order to avoid any conflicts of interest and other potential managerial issues.***

Or. en

7.11.2022

A9-0341/4

**Amendment 4**

**Gunnar Beck**

on behalf of the ID Group

**Report**

**Billy Kelleher**

Digital finance: Digital Operational Resilience Act (DORA)  
(COM(2020)0595 – C9-0304/2020 – 2020/0266(COD))

**A9-0341/2021**

**Proposal for a regulation**

**Article 25 – paragraph 1 – point 8 – point d a (new)**

*Text proposed by the Commission*

*Amendment*

***(d a) ICT third-party service provider becomes or is suspected of becoming at least partially owned or controlled by a third country;***

Or. en

7.11.2022

A9-0341/5

**Amendment 5**

**Gunnar Beck**

on behalf of the ID Group

**Report**

**Billy Kelleher**

Digital finance: Digital Operational Resilience Act (DORA)  
(COM(2020)0595 – C9-0304/2020 – 2020/0266(COD))

**A9-0341/2021**

**Proposal for a regulation**

**Article 25 – paragraph 1 – point 8 a (new)**

*Text proposed by the Commission*

*Amendment*

***8 a. With a view to reducing the risk of disruptions at the level of the financial entity, in duly justified circumstances and in agreement with their competent authorities, financial entities may not terminate the contractual arrangement with the ICT third-party service provider until they are able to switch to another ICT third-party service provider or change to on-premises solutions consistent with the complexity of the service provided, in accordance with the exit strategy referred to in paragraph 9.***

Or. en

7.11.2022

A9-0341/6

**Amendment 6**

**Gunnar Beck**

on behalf of the ID Group

**Report**

**Billy Kelleher**

Digital finance: Digital Operational Resilience Act (DORA)  
(COM(2020)0595 – C9-0304/2020 – 2020/0266(COD))

**A9-0341/2021**

**Proposal for a regulation**

**Article 31 – paragraph 1 – point d – point iv a (new)**

*Text proposed by the Commission*

*Amendment*

***(iv a) refraining from entering into a further subcontracting arrangement, when the envisaged sub-contractor is an ICT third-party service provider or an ICT sub-contractor which is or is suspected of becoming at least partially owned or controlled by a third country;***

Or. en